

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF ARIZONA

C.M., on her own behalf and on behalf of
her minor child, B.M.; L.G., on her own
behalf and on behalf of her minor child,
B.G.; M.R., on her own behalf and on
behalf of her minor child, J.R.; O.A., on
her own behalf and on behalf of her minor
child, L.A.; and V.C., on her own behalf
and on behalf of her minor child, G.A.,

Plaintiffs,

v.

United States of America,

Defendant.

No. 2:19-cv-05217-SRB

**STIPULATED PROTECTIVE
ORDER REGARDING
CONFIDENTIAL
INFORMATION**

1. With the agreement of the parties, the Court having determined that there is good cause for issuance of a protective order pursuant to Rule 26(c) of the Federal Rules of Civil Procedure to govern the disclosure, use, and handling by the parties and their respective agents, successors, personal representatives and assignees of certain information and items produced and received in discovery this action, IT IS HEREBY ORDERED as follows:

A. Definitions

1. “Action” shall mean the case captioned *C.M., et al. v. United States*, Civil Action No. 2:19-cv-05217-SRB.

1 2. “Confidential Information” shall mean information that, at the time of its
2 production in discovery in the Action, or thereafter, is designated confidential by the
3 Producing Party because of a good faith belief that the information is:

- 4 a. a trade secret or other confidential research, development, or commercial
5 information as such terms are used in Federal Rule of Civil Procedure
6 26(c)(1)(G);
- 7 b. personal financial, medical or other private information relating to an
8 individual that would properly be redacted from any public court filing
9 pursuant to Federal Rule of Civil Procedure 5.2., including any document,
10 information, or tangible thing protected by the provisions of the Family
11 and Educational Rights and Privacy Act, 20 U.S.C. § 1232g, 34 C.F.R.
12 Part 99; certain individually identifiable health information (defined as
13 health information that is connected to a patient’s name, address, Social
14 Security number, or other identifying number, including Health Insurance
15 Claim (HIC) number) that may be subject to the provisions of the Privacy
16 Act, 5 U.S.C. § 552a; the provisions of 45 C.F.R. §§ 164.102-164.534
17 (regulations promulgated pursuant to the Health Insurance Portability and
18 Accountability Act (HIPAA)); or health information for which there may
19 be no waiver by the patient to produce the records to an entity outside one
20 of the Parties;
- 21 c. information protected by the provisions of the Privacy Act of 1974, 5
22 U.S.C § 552a;
- 23 d. information contained in individual detainee files (e.g., “A Files”) that
24 would be protected by the Privacy Act or any other information or
25 documents that would be covered by the Privacy Act if the subject of the
26 information had been a U.S. citizen or a person lawfully admitted for
27 permanent residence;
- 28

- e. plaintiffs' administrative claims (Standard Form 95 or "SF-95") and attachments to those claims;
- f. sensitive information about Defendant's law enforcement or national security staffing, resources, intelligence and/or methods (including the names and contact information of third parties, and non-supervisory federal and non-federal employees), the release of which to the public may adversely impact identifiable law enforcement or national security interests;
- g. information pertaining to applications for asylum or withholding of removal, which are subject to disclosure conditions under 8 C.F.R. §§ 1003.27(c) and 1208.6(a), as well as information pertaining to: (1) applications for Temporary Protected Status under 8 U.S.C. § 1254a; and (2) information that relates to an alien who is the beneficiary of an application for relief under 8 U.S.C. §§ 1101(a)(15)(T), (15)(U), (51) or 1229b(b)(2); and
- h. any other information that is protected or restricted from disclosure by Court order, statutes, or regulations, including, but not limited to: 8 U.S.C. §§ 1160(b)(5); 1186a(c)(4), 1202(f), 1254a(c)(6), 1255a(c)(4), (5); 1304(b), and 1367(a)(2), (b), (c), (d); 22 U.S.C. § 7105(c)(1)(C); 8 C.F.R. §§ 208.6, 210.2(e), 214.11(e), 214.14(e), 216.5(e)(3)(viii), 236.6, 244.16, 245a.2(t), 245a.3(n), 245a.21, 1003.27(b)-(d), 1003.46, 1208.6, 28 C.F.R. § 0.29f, which otherwise could subject either party to civil or criminal penalties or other sanctions in the event of unauthorized disclosure.

3. "Disclose" (or forms thereof) shall mean to distribute, provide, or otherwise make available for access, viewing, or copying. "Disclose" shall include the actual covered document or item as well as the contents or information contained therein, such that disclosing a copy, summary, paraphrasing, or characterization would be considered a disclosure of the document itself for purposes of this Protective Order.

1 4. “Document” shall mean all items listed in Fed. R. Civ. P. 34(a)(1)(A) & (B).

2 5. “Challenging Party” shall mean any party who challenges the designation of
3 information as Confidential Information under this Protective Order.

4 6. “Designating Party” shall mean the party or other person producing in
5 discovery in the Action any information that the Producing Party seeks to designate and
6 to have treated as Confidential Information pursuant to this Protective Order.

7 7. “Producing Party” shall mean the person or party producing in discovery in the
8 Action.

9 8. “Receiving Party” shall mean any party who receives information that has been
10 designated as Confidential Information.

11 B. Purpose, Scope, and Limitations of Protective Order

12 1. This Protective Order applies to discovery, pre-trial, trial, and post-trial
13 proceedings in this action, whether the Documents are produced by a party or a person
14 or entity who is not a party to this action (a “non-party”). This Order binds the parties
15 and their respective agents, successors, personal representatives, and assignees.

16 2. This Protective Order shall not prejudice in any way any party’s ability to
17 challenge the use or disclosure of information other than information designated as
18 Confidential Information under this Protective Order in this Action. A party’s
19 compliance with the terms of this Protective Order shall not operate as an admission that
20 any particular material is or is not (a) confidential, (b) privileged, or (c) admissible in
21 evidence at trial.

22 3. The protections conferred by this Protective Order do not cover any
23 information that (i) is properly in the public domain; (ii) becomes part of the public
24 domain after its disclosure to a Receiving Party as a result of publication not involving a
25 violation of this Protective Order, including becoming part of the public record in this
26 Action through trial or otherwise; (iii) is known to or is in the possession of the
27 Receiving Party prior to the disclosure in this Action or obtained by the Receiving Party
28 after the disclosure in this Action from a source who obtained the information lawfully

1 and under no obligation of confidentiality to the Producing Party.

2 4. If the Confidential Information contains highly sensitive information, then the
3 Parties may stipulate or the Producing Party may move for the establishment of an
4 additional category of protection (e.g., Attorneys' Eyes Only) that prohibits disclosure
5 of such information in that category, or that limits disclosure only to specifically
6 designated counsel, Party representative(s) whose assistance is reasonably necessary to
7 the conduct of these cases, and who agree to be bound by the terms of the Order
8 provided herein or as revised with respect to such information.

9 5. The Court finds that this Order is a "qualified protective order" within the
10 meaning of 45 C.F.R. § 164.512(e)(1)(v). All patient identifiable information shall be
11 designated "confidential" using the process in Section C of this Protective Order and
12 may be used or disclosed in accordance with the terms of this Protective Order and
13 45 C.F.R. § 164.512(e)(1)(v).

14 6. This Protective Order does not govern the use by the parties of Confidential
15 Information in open court at any hearing or trial, but the parties reserve the right to seek
16 relief from the Court in connection with the intended use of Confidential Information in
17 any such hearing or trial.

18 7. This Protective Order governs the disclosure, use, and handling of all
19 Confidential Information, regardless of the format or medium in which such
20 Confidential Information is generated, stored, or maintained.

21 8. Any Confidential Information referenced in any pleading or contained in any
22 Document filed with the Court in this Action by the Producing Party shall at the time of
23 filing cease to be Confidential Information unless the Producing Party files the un-
24 redacted pleading or Document under seal per the procedural requirements of LRCiv
25 5.6.

26 9. Nothing in this Protective Order shall restrict the right of any Producing Party
27 to use its own Confidential Information for any purpose whatsoever, but if any such use
28 results in a disclosure that causes the Confidential Information to lose its designation as

Confidential Information, then it shall no longer be subject to any protection under this Protective Order.

10. This Protective Order applies to only disclosures, uses, and handling of Confidential Information occurring after the entry of this Protective Order.

11. Neither the termination of this Action nor the termination of employment of any person who has had access to any Confidential Information shall relieve such person of his or her obligations under this Protective Order, which shall survive.

12. Any party may at any time seek modification of this Order by agreement or, failing agreement, by motion to the Court.

C. Method for Designating Confidential Information

1. Designations of Confidential Information shall be made by the Producing Party, prior to or at the time of production, except as otherwise provided by this Protective Order.

2. The designation of Confidential Information should be limited to only those Documents or portions of Documents that qualify under the appropriate standards or under the definition of Confidential Information in Section A(2) of this Protective Order.

3. Documents produced in discovery in this Action containing confidential information shall be designated as containing “Confidential Information.” For Documents produced in paper or an electronic form that allows endorsements or similar designation on the image, the designation shall appear by the inclusion of the marking of CONFIDENTIAL – SUBJECT TO PROTECTIVE ORDER. For electronic information that is provided in native form or a format that is not amenable to visible endorsement on the image, the file name(s) shall begin with CONFIDENTIAL – SUBJECT TO PROTECTIVE ORDER. The media on which the Confidential Information is provided (e.g., CD, DVD, external hard drive) also must be and remain plainly labeled with CONFIDENTIAL – SUBJECT TO PROTECTIVE ORDER unless and until the protection of the data within the media is removed. Any copying or

1 transferring of electronic files that are designated as Confidential Information must be
2 done in a manner that maintains the protection for all copies, including, but not limited
3 to, maintaining the protection in the filename(s) and the location where the copies are
4 stored and the location where the users access the information. Only those Documents
5 or portions of Documents designated as Confidential Information shall be subject to this
6 Protective Order.

7 4. A Receiving Party may request the Designating Party to identify whether a
8 Document labeled “CONFIDENTIAL – SUBJECT TO PROTECTIVE ORDER” is
9 confidential in total or only in part. Within five (5) business days of the request, the
10 Receiving Party and the Designating Party shall confer in good faith to resolve any
11 Receiving Party’s request for such identification. The parties shall memorialize in
12 writing any identification of Confidential Information that results from the meet and
13 confer. If the parties cannot resolve the request to the Receiving Party’s satisfaction
14 during their conference, the Receiving Party may challenge the designation in
15 accordance with Section D of this Order.

16 5. For interrogatory answers and responses to requests for admissions, designation
17 of Confidential Information shall be made by placing within each interrogatory answer
18 or response to requests for admission asserted to contain Confidential Information the
19 following: CONFIDENTIAL – SUBJECT TO PROTECTIVE ORDER. Only those
20 interrogatory answers or responses or portions of interrogatory answers or responses
21 designated as Confidential Information shall be subject to this Protective Order.

22 6. For depositions, designation of Confidential Information shall be made during
23 the deposition on the record that should include reasons for the assertion, or by letter
24 from counsel within 30 days of receipt of the official deposition transcript or copy
25 thereof (or written notification that the transcript is available), listing the specific pages
26 and lines of the transcript and any exhibits that should be treated as Confidential
27 Information. The entire deposition transcript (including any exhibits not previously
28 produced in discovery in this Action) shall be treated as Confidential Information under

1 this Protective Order until the expiration of the above-referenced 30-day period for
2 designation, except that the deponent (and his or her counsel, if any) may review the
3 transcript of his or her own deposition during the 30-day period subject to this
4 Protective Order and the requirement of executing the certification attached as Exhibit
5 A. After designation of Confidential Information is made, the following shall be placed
6 on the front of the original and each copy of a deposition transcript containing
7 Confidential Information: CONFIDENTIAL – SUBJECT TO PROTECTIVE ORDER.
8 If the deposition was filmed, both the recording storage medium (*i.e.* CD or DVD) and
9 its container shall be labeled CONFIDENTIAL – SUBJECT TO PROTECTIVE
10 ORDER. The deposition transcript shall also be accompanied by a cover letter from the
11 Designating Party identifying the specific pages and lines of the transcript and any
12 exhibits (or portions of exhibits) designated as Confidential Information. Only those
13 pages and lines and exhibits (or portions of exhibits) designated as Confidential
14 Information, and their corresponding portions of video, if any, shall be subject to this
15 Protective Order.

16 7. For any other Document or item produced in discovery in this Action not
17 falling within Sections C(3), C(5) or C(6) above, designation of Confidential
18 Information shall be made by labeling the item or the item's container with
19 CONFIDENTIAL – SUBJECT TO PROTECTIVE ORDER. If only a portion or
20 portions of the information contained in the item warrant protection as Confidential
21 Information, it shall be accompanied by a cover letter identifying the specific portion or
22 portions so designated. Only the item or portions of the item designated as Confidential
23 Information shall be subject to this Protective Order.

24 8. If it comes to a Producing Party's attention that information designated as
25 Confidential Information does not qualify or no longer qualifies for protection, the
26 Producing Party must promptly notify all Parties that it is withdrawing the designation
27 for the applicable information.
28

1 D. Challenging Confidential Information Designations

2 1. Prior to thirty (30) days before the final pre-trial conference, any party may
3 object to a designation of materials as Confidential Information. The party objecting to
4 confidentiality must notify, in writing, Counsel for the producing party of the objected-
5 to materials and the grounds for the objection. If the dispute is not resolved
6 consensually between the parties within fourteen (14) days of receipt of such a notice of
7 objections, the Challenging Party may move the Court for a ruling on the objection. In
8 the event any party files a motion challenging the designation or redaction of
9 information, the document shall be submitted to the Court, under seal, for an in-camera
10 inspection. The materials at issue must be treated as Confidential Information, as
11 designated by the producing party, until the Court has ruled on the objection or the
12 matter has been otherwise resolved.

13 E. Disclosure, Use, and Handling of Confidential Information

14 1. Counsel of record are responsible for employing reasonable measures,
15 consistent with this Protective Order, to control access to and to secure distribution of
16 Confidential Information.

17 2. Confidential Information shall be disclosed, summarized, described,
18 characterized, or otherwise communicated or made available, in whole or in part, to
19 only the following persons and only as reasonably necessary for this Action:

- 20 a. Counsel (including outside counsel) for the parties, including associated
21 personnel necessary to assist counsel in this Action, such as litigation
22 assistants, paralegals, interpreters, and litigation support, information
23 technology, information or records management, investigative, secretarial,
24 or clerical personnel;
- 25 b. Any person with prior authorized access to the Confidential Information;
- 26 c. Witnesses, potential witnesses, and deponents, including their counsel;
- 27 d. Court reporters and other persons not employed by this Court, retained to
28 record or to transcribe testimony or argument at interviews or depositions

1 in connection with this Action;

2 e. Photocopying, data processing, and other support services that are
3 reasonably necessary to litigation in this Action;

4 f. Retained expert witnesses and consultants;

5 g. Mediators or arbitrators;

6 h. Any person indicated on the face of the document to be its author or co-
7 author, or any person identified on the face of the document as one to
8 whom a copy of such document was sent before its production in this
9 Action;

10 i. Other persons only upon consent of the Producing Party and on such
11 conditions as the Parties may agree; and

12 j. This Court (including any judicial officer to whom this Court may refer
13 this matter for settlement purposes) and Court personnel, including
14 persons recording or transcribing testimony or argument at a conference,
15 hearing, trial, or appeal in this Action.

16 3. Disclosure to the persons referenced in subsections (E)(2)(c), (f), and (i) above
17 may only occur after the person to whom the disclosure is being made has been given a
18 copy of this Protective Order and has signed a declaration in the form attached hereto as
19 “Exhibit A.”

20 4. Persons receiving Confidential Information pursuant to the terms of this
21 Protective Order are prohibited from disclosing it to any person except in conformance
22 with this Protective Order.

23 5. Before any materials produced in discovery, answers to interrogatories,
24 responses to requests for admissions, deposition transcripts, or other documents which
25 are designated as Confidential Information are filed with the Court for any purpose, the
26 parties must follow the procedural requirements of LRCiv 5.6. Nothing in this Order
27 shall be construed as automatically permitting a party to file under seal. Further, no
28

1 portion of the trial of the matter shall be conducted under seal, unless the parties and the
2 Court so agree.

3 6. If the need arises for any Party to disclose Confidential Information in a
4 proceeding in open Court or at any hearing or trial, it may do so only after giving seven
5 (7) business days' notice to the Producing Party who, after a good faith effort to meet-
6 and-confer, may seek additional relief from the Court. If a hearing is scheduled to occur
7 less than seven (7) business days from the date the hearing is scheduled, the notice
8 contemplated by this Section E(6) shall be accomplished within twenty-four (24) hours,
9 or as soon as practicable. The notice contemplated by Section E(6) for purposes of a
10 Party who asserts the need to disclose Confidential Information at trial may be
11 accomplished by provision of a pre-trial exhibit list and resolution of any objection by
12 the Court at a Pretrial Conference.

13 7. If any Party is (a) subpoenaed in another action, (b) served with a demand in
14 another action to which it is a Party, (c) served with any legal process by one not a party
15 to this action, or (d) otherwise compelled to respond to a request pursuant to existing
16 independent statutory, law enforcement, national security or regulatory obligations
17 imposed on a party, and such subpoena, demand, legal process, or request seeks
18 information or material which was designated as Confidential Information by someone
19 other than that Party, the Party shall give written notice within ten (10) calendar days of
20 receipt of such subpoena, demand, legal process, or request to the Designating Party,
21 and prior to compliance with the subpoena, so as to allow the Designating Party to seek
22 protection from the relevant court(s). Nothing in this Protective Order shall be
23 construed as requiring the Party or anyone else covered by this Protective Order to
24 challenge or appeal any order requiring production of information or material covered
25 by this Protective Order, or to subject itself to any penalties for noncompliance with any
26 legal process or order, or to seek any relief from this Court.

27 8. Except as set forth in Section 7, a Receiving Party may use Confidential
28 Information only in connection with prosecuting, defending, or attempting to settle this

1 Action. The Confidential Information shall not be used by the Receiving Party for any
2 purpose outside of this Action.

3 9. No one subject to this Protective Order shall use Confidential Information
4 obtained in this Action to retaliate against, intimidate, discriminate against, or harass
5 any individual in any manner.

6 F. Inadvertent Production of Confidential Information

7 1. Nothing herein shall be deemed or construed as a waiver of any applicable
8 privilege, right of privacy, or proprietary interest with respect to any information or
9 item. The parties agree to follow Fed. R. Civ. P. 26(b)(5)(B) with respect to any
10 inadvertently or unintentionally produced or disclosed Confidential Information.

11 2. If a Receiving Party learns that, by inadvertence or otherwise, it, or a person to
12 whom it has disclosed Confidential Information in accordance with this Protective
13 Order, has disclosed Confidential Information to any person or in any circumstance not
14 authorized under this Protective Order, the Receiving Party shall, upon learning of the
15 unauthorized disclosure: (a) promptly notify the person(s) to whom the unauthorized
16 disclosure was made that the unauthorized disclosure contains Confidential Information
17 subject to this Protective Order; (b) promptly make all reasonable efforts to obtain the
18 return of the Confidential Information and to prevent further unauthorized disclosures of
19 the Confidential Information, including requesting the person who received the
20 unauthorized disclosure to agree to be bound by the terms of this Protective Order by
21 executing a declaration in the form attached as Exhibit A; and (c) within five calendar
22 days notify the Producing Party and all other parties of the identity of the person(s) to
23 whom the unauthorized disclosure was made, the circumstances surrounding the
24 disclosure, and the steps taken to prevent any use or further disclosure of the
25 Confidential Information that was the subject of the unauthorized disclosure.

26 G. Disposition of Documents Containing Confidential Information

27 1. Except as provided in this Protective Order, within 90 days of the final
28 termination of this Action, whether by settlement, judgment, or other disposition or

1 conclusion and all appeals or opportunities to appeal therefrom, a Receiving Party shall
2 take reasonable steps either (a) to destroy or to delete all items designated as
3 Confidential Information or (b) to return them to the Designating Party, depending
4 upon the Designating Party's stated reasonable preference, except materials that exist on
5 back-up tapes or similar systems. Materials that exist on back-up tapes, systems, or
6 similar storage need not be immediately deleted or destroyed, and, instead, such
7 materials may be overwritten and destroyed in the normal course of business. Until
8 they are overwritten in the normal course of business, the Receiving Party will take
9 reasonable steps to limit access, if any, to the persons necessary to conduct routine IT
10 and cybersecurity functions. In the course of disposing of information in its possession
11 under this paragraph, Receiving Party also will take reasonable steps to notify persons
12 to whom it distributed Confidential Information pursuant to this Order that such
13 information should be returned to Receiving Party or destroyed by the person
14 possessing the information with written confirmation to Receiving Party.

15 a. For material that contains or reflects Confidential Information, but that
16 constitutes or reflects counsel's work product, or that of retained
17 consultants and experts, counsel of record for the parties shall be entitled
18 to retain such work product in their files in accordance with the provisions
19 of this Protective Order, so long as it is and remains clearly marked to
20 reflect that it contains Confidential Information subject to this Protective
21 Order.

22 b. Counsel of record for the parties shall also be entitled to retain an archival
23 copy of all pleadings; affidavits; motion papers; trial, deposition, and
24 hearing transcripts; legal memoranda; correspondence; deposition and trial
25 exhibits; expert reports; briefs; other papers filed with the Court; and any
26 other parts of the trial record, even if such material contains Confidential
27 Information, so long as such material is and remains clearly marked to
28 reflect that it contains Confidential Information. Even after the final

disposition of this Action, the terms of this Protective Order shall continue to govern the disclosure, use, and handling of any Confidential Information unless and until its Designating Party agrees otherwise in writing or a court order directs.

- c. In particular, attorneys for the United States may maintain copies of any documents designated Confidential in their case file for this case, and may maintain copies of any notes or summaries containing such Confidential Information in their case file for this case, subject to 44 U.S.C. § 3101, *et seq.*, and 5 U.S.C. § 552, *et seq.*

H. Privacy Act.

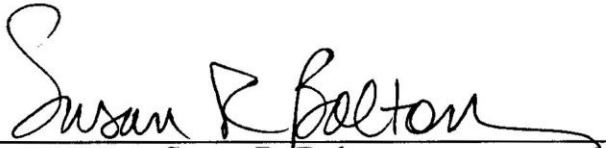
1. The United States is authorized to produce personal identifying information contained within electronically stored information or hard copy documents. Any electronically stored information or hard copy documents containing such personal identifying information will be deemed Confidential Information, regardless of whether the electronically stored information or hard copy documents are marked with a “CONFIDENTIAL – SUBJECT TO PROTECTIVE ORDER” legend designating the information as Confidential Information.

2. This is an order of a court of competent jurisdiction. 5 U.S.C. § 552a(b)(11).

I. Applicability to Parties Later Joined.

1. If additional persons or entities become parties to this Action, they must not be given access to any Confidential Information until they execute and file with the Court their written agreement to be bound by the provisions of this Order.

Dated this 22nd day of July, 2020.



Susan R. Bolton
United States District Judge

EXHIBIT AIN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF ARIZONA

C.M., on her own behalf and on behalf of her)	
minor child, B.M.; L.G., on her own behalf)	
and on behalf of her minor child, B.G.; M.R.,)	
on her own behalf and on behalf of her minor)	
child, J.R.; O.A., on her own behalf and on)	
behalf of her minor child, L.A.; and V.C., on)	Civil Action No. 2:19-cv-05217-SRB
her own behalf and on behalf of her minor)	
child, G.A.,)	
)	
Plaintiffs,)	
)	
v.)	
)	
United States of America,)	
)	
Defendant.)	
)	

CERTIFICATION

I hereby declare that I have read and that I understand the Agreed Protective Order entered in the above-captioned case. I further declare that I will comply with all of the terms and conditions of this Protective Order, and that I will not disclose any Confidential Information, as that term is defined in the Protective Order, in a manner that is inconsistent with the Protective Order. I will maintain any Confidential Information in my possession – including copies, notes, or other transcriptions made therefrom – in a secure manner to prevent unauthorized access to it. I understand that any unauthorized disclosure may subject me to a ruling of contempt or other sanction imposed by the District Court. I hereby consent to be subject to the personal jurisdiction of the United States District Court for the District of Arizona with respect to any proceedings relative to the enforcement of that Order, including any proceeding related to contempt of that Order.

Executed this ____ day of _____ by _____
(Print Name)

Signed _____